CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY



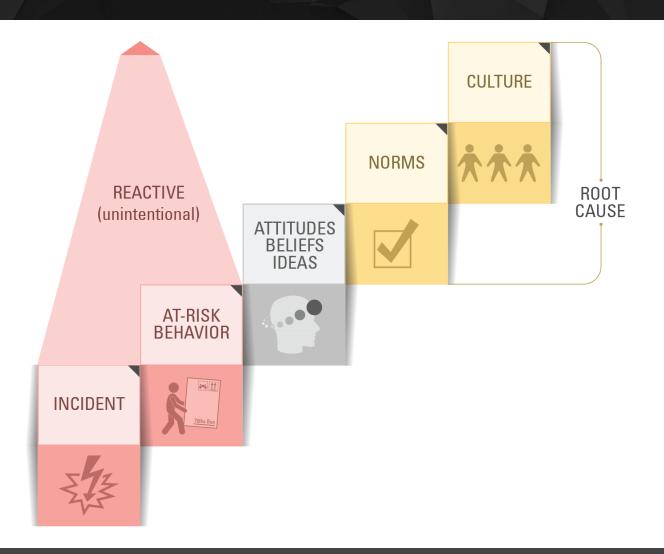
Justin Ganschow MS, CSP, CHMM Senior Safety Consultant Caterpillar Safety Services

CATERPILLAR®

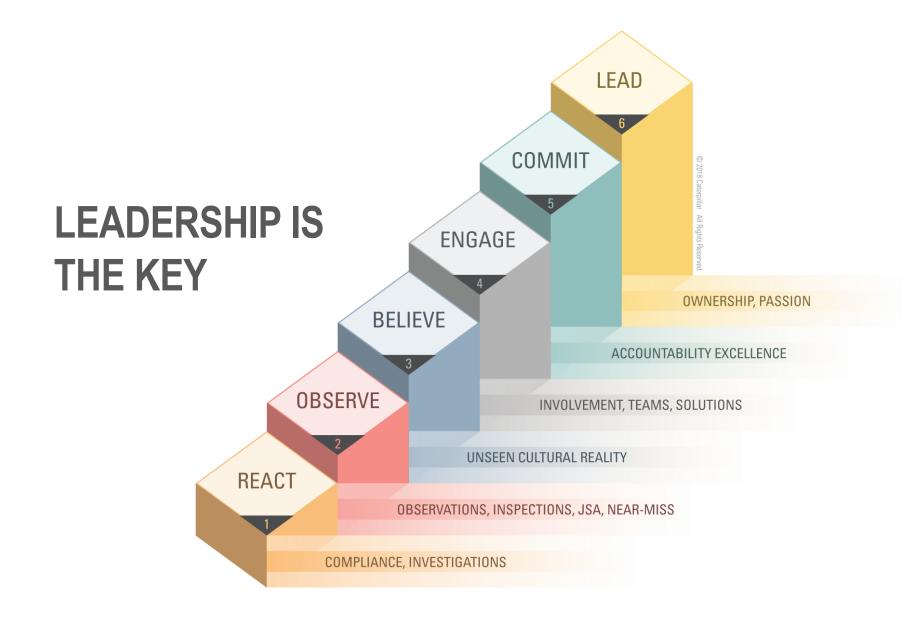


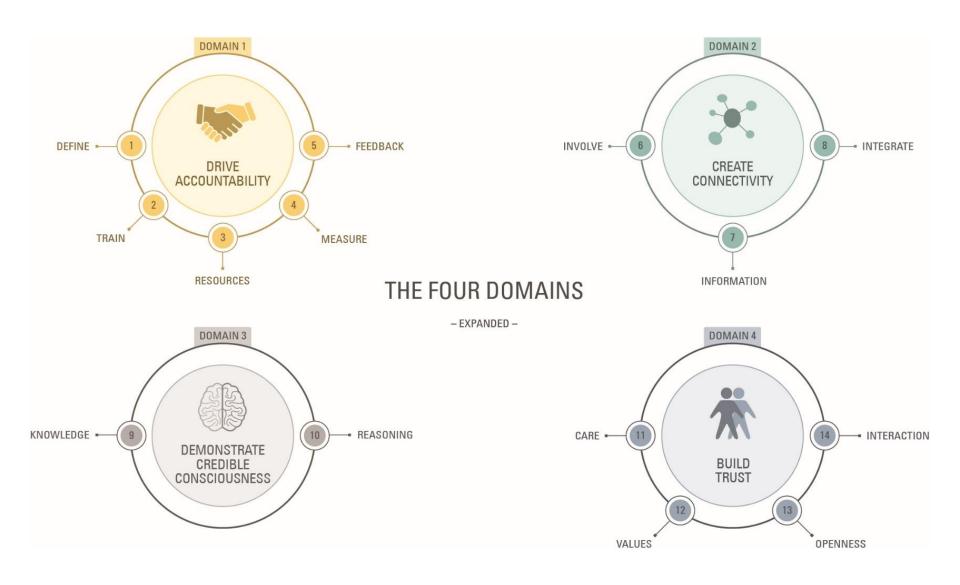


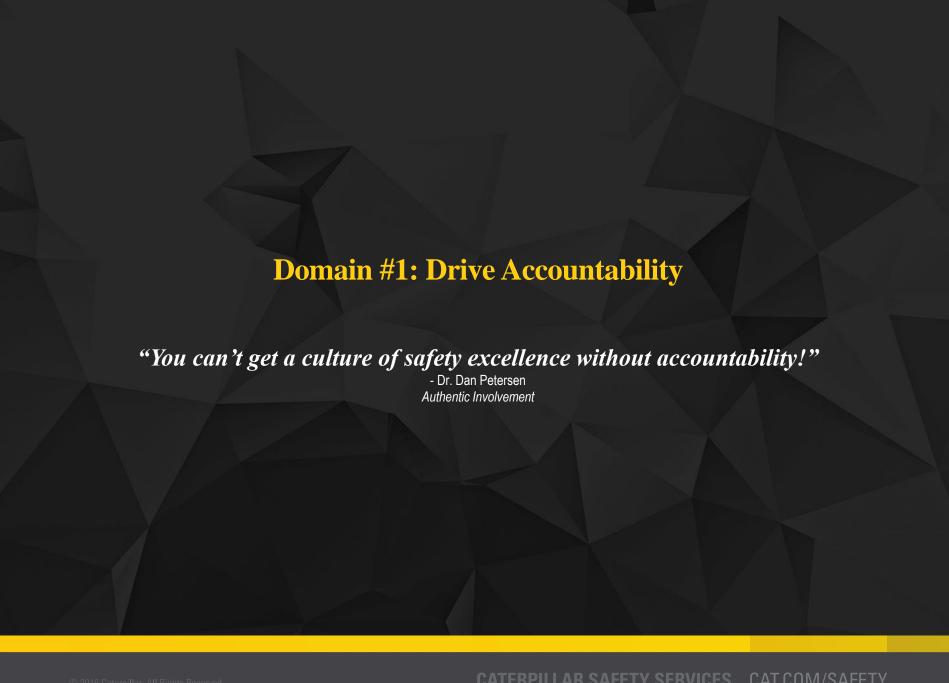
WHY INCIDENTS HAPPEN













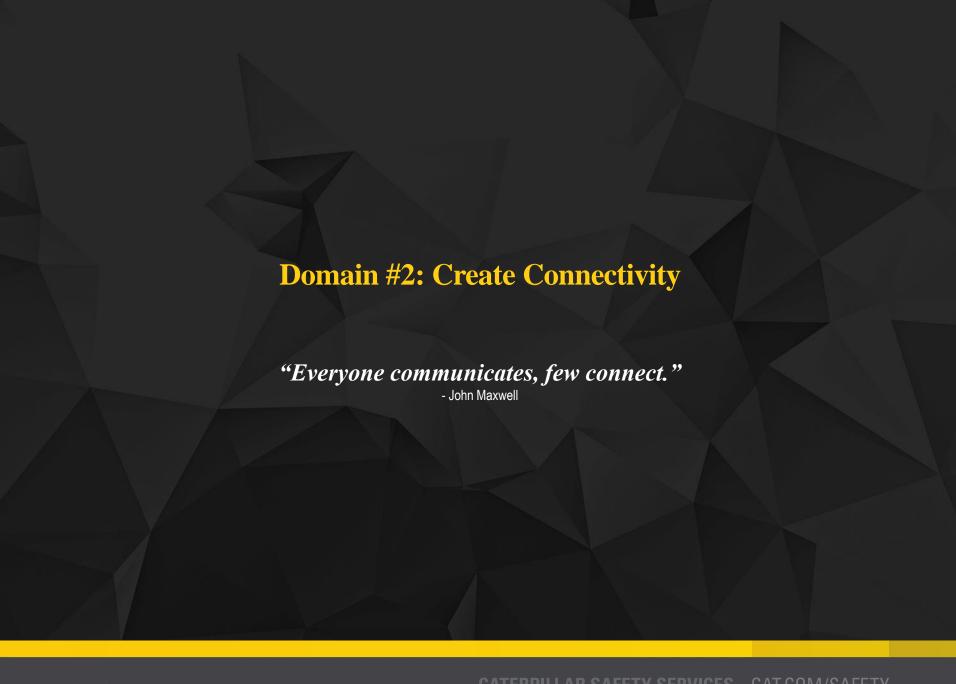
ACCOUNTABILITY

When a leader creates a culture of strong accountability . . .

- Everyone knows what's expected and how it is measured.
- High quality training is provided to ensure the ability to execute.
- Appropriate feedback, both positive and developmental, is provided to steer employees toward safe performance.
- Everyone has the resources they need to work safely.

ACCOUNTABILITY ELEMENTS





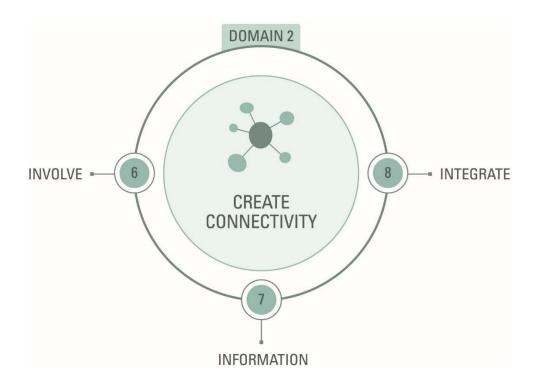


CONNECTIVITY

When a leader creates connectivity . . .

- Everyone understands that safety is integrated into the entire operation.
- Safety is an equal area of focus with production, quality, finance, and customer service.
- Employees are involved in identifying and solving safety problems.
- Every employee is kept informed of all relevant information needed to work safely.

CONNECTIVITY ELEMENTS



Domain #3: Demonstrate Credible Consciousness

"Claiming that you are what you are not will obscure the strengths you do have while destroying your credibility."

- Tom Hayes

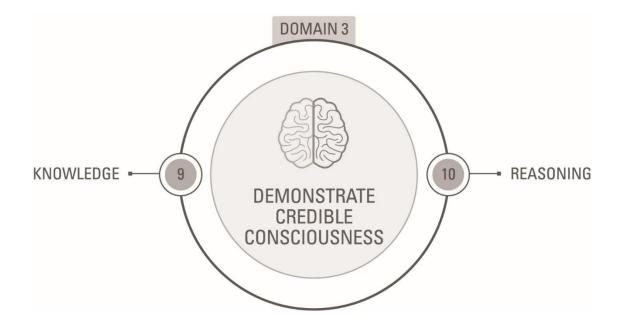


CREDIBLE CONSCIOUSNESS

When a leader is credibly conscious, he/she...

- Understands the safety processes within the team
- Has the necessary information to make wise, informed safety decisions
- Effectively appraises risks where they exist
- Internalizes safety concepts and applies them personally
- Continually learns and grows in their ability to lead a culture of safety excellence

CREDIBLE CONSCIOUSNESS ELEMENTS





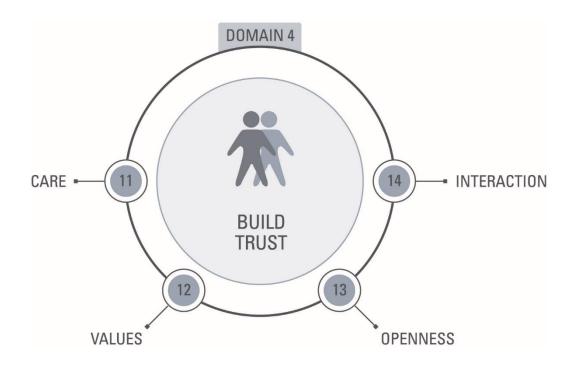


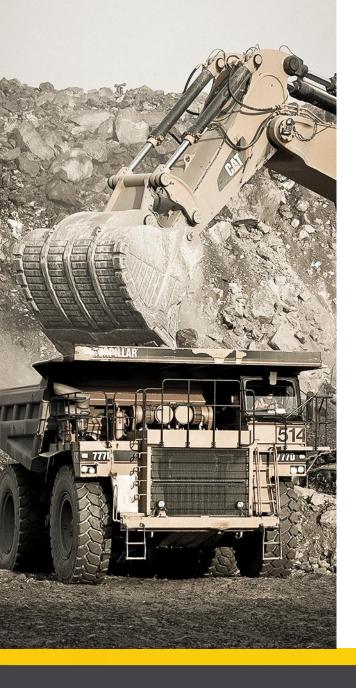
TRUST

When a leader builds high trust, he/she . . .

- Demonstrates care and concern for their own safety and the safety of others
- Is accessible and available to those they lead
- Fosters an environment of free-flowing communication that inspires others to trust them.
- Values safety as core evidenced in decision making and communication

TRUST ELEMENTS



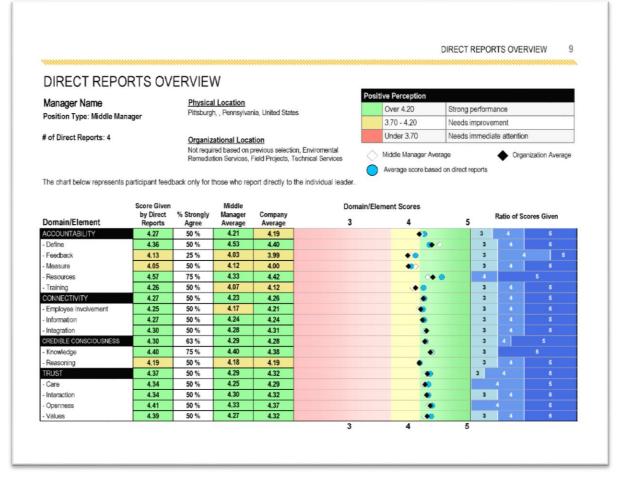


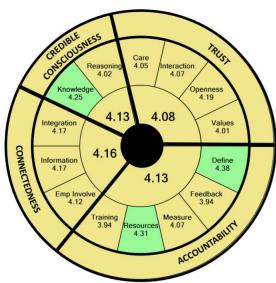
SIGNIFICANT FINDINGS

From the statistical validation study...

- Strong safety leadership creates a safer workplace.
- Leaders with more than 9 direct reports experience lower leading indicator performance.
- Accountability is the strongest driver.
- Trust is the highest predictor of reduced incidents.
- Top 3 elements are defined expectations, integrating safety, and sharing information.
- Higher level leaders generally outperformed front line leaders.

THE SAFETY LEADERSHIP ASSESSMENT (SLA)





RESOURCES FOR FURTHER STUDY

- Collins, Jim, Good to Great & Built to Last
- Covey, Stephen, Principle-Centered Leadership
- Covey, Stephen M.R., The Speed of Trust
- Crouch, C. David, *The Excellent Experience*
- Kotter, John, *Leading Change*
- Lencioni, Patrick, *The Five Dysfunctions of a Team*
- Maister, David H., The Trusted Advisor
- McChesney, Covey, Huling, The 4 Disciplines of Execution
- Northouse, Peter, Leadership, Theory & Practice
- Patterson, Kerry et al, Crucial Conversations
- Petersen, Dan, Authentic Involvement
- Pink, Dan, Drive



CATERPILLAR SAFETY SERVICES CAT.COM/SAFETY



© 2018 Caterpillar All Rights Reserved

CATERPILLAR®